

**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
00-030

In Re ~~Walker et al.~~ • WALKER et al.

Serial No.  
Not Yet Assigned

Filing Date  
May 15, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Title: **SYSTEM FOR PROVIDING OFFERS USING A BILLING STATEMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Information Disclosure Statement (5 pp.);  
PTO Form-1449 (6 pp.), and references cited therein (70); and  
Return Receipt Postcard.**

in the above identified application.

- ☒ No additional fee is required.  
☐ A check in the amount of \_\_\_\_\_ is attached.  
☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-271**  
as described below. A duplicate copy of this sheet is enclosed.  
☐ Charge the amount of \_\_\_\_\_  
☐ Credit any overpayment.  
☒ Charge any additional fee required.

*Signature*

Dated: **May 30, 2001**

**Dean P. Alderucci**  
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CC:

I certify that this document and fee is being deposited  
on **May 30, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence*

**Veronika S. Leliever**

*Typed or Printed Name of Person Mailing Correspondence*



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: Not Yet Assigned

Filing Date: May 15, 2001

For: SYSTEM FOR PROVIDING OFFERS  
USING A BILLING STATEMENT

) Examiner: Not Yet Assigned  
)  
) Group Art Unit: Not Yet Assigned  
)  
) Attorney Docket No: 00-030  
)  
) Customer No.: 22927  
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**RECEIVED**

JUL 19 2002

**GROUP 3600**Assistant Commissioner for Patents  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.



1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): \_\_\_\_\_
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): \_\_\_\_\_
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
- ☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of

Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. [ ] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.

7. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- [ ] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

☐ A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

☐ The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose


☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).

☐ The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.

11. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

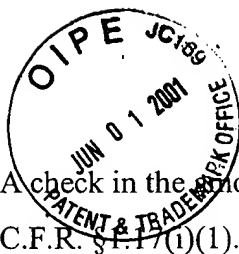
12. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,



\_\_\_\_\_  
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May 30, 2001



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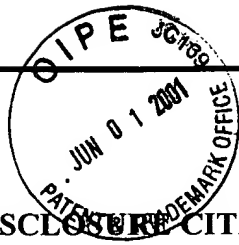
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A	4,491,725	1/01/85	Pritchard	235	375	
	B	4,667,292	5/19/87	Mohlenbrock et al.	364	406	
	C	4,750,119	6/07/88	Cohen et al.	364	401	
	D	5,121,945	6/16/92	Thomson et al.	283	58	
	E	5,191,525	3/02/93	LeBrun et al.	364	419	
	F	Re. 34,380	9/14/93	Sleeve	379	67	
	G	5,297,026	3/22/94	Hoffman	364	408	
	H	5,326,959	7/05/94	Perazza	235	379	
	I	5,367,450	11/22/94	Pintsov	364	401	
	J	5,458,284	10/17/95	Haan et al.	229	304	
	K	5,513,102	4/30/96	Auriemma	364	408	
	L	5,515,270	5/07/96	Weinblatt	364	405	

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No

**OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)**

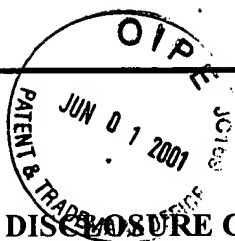
	M	Royall, Katcher P., "Getting products to consumers; The Basics of Retailing, part 2", Automotive Marketing, May 1990, Vol. 19, No. 5, Pg. 34, ISSN: 0193-3264.
	N	Shaw, Robert, "How the Smart Card is Changing Retailing", Long Range Planning, February 1991, Vol. 24, No. 1, pp. 111-114.

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	O	5,652,784	7/29/97	Blen et al.	379	67	
	P	5,659,481	8/19/97	Qutub et al.	364	478.08	
	Q	5,694,551	12/02/97	Doyle et al.	395	226	
	R	5,699,528	12/16/97	Hogan	395	240	
	S	5,710,884	1/20/98	Dedrick	395	200.47	
	T	5,721,827	2/24/98	Logan et al.	395	200.47	
	U	5,724,521	3/03/98	Dedrick	395	226	
	V	5,724,525	3/03/98	Beyers II et al.	395	240	
	W	5,734,838	3/31/98	Robinson et al.	395	214	
	X	5,752,238	5/12/98	Dedrick	705	14	
	Y	5,761,647	6/02/98	Boushy	705	10	
	Z	5,761,650	6/02/98	Munsil et al.	705	34	

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	AA	"Set-top 'Converger'; Interactive Initiatives Abound At NCTA Convention", Communications Daily, June 10, 1993, Vol. 13, No. 111 at Pg. 9.

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	BB	5,774,868	6/30/98	Cragun et al.	705	10	
	CC	5,794,220	8/11/98	Hunt	705	40	
	DD	5,812,769	9/22/98	Graber et al.	395	200.12	
	EE	5,839,119	11/17/98	Krsul et al.	705	39	
	FF	5,857,175	1/05/99	Day et al.	705	14	
	GG	5,864,822	1/26/99	Baker, III	705	14	
	HH	5,870,030	2/09/99	DeLuca et al.	340	825.44	
	II	5,873,068	2/16/99	Beaumont et al.	705	14	
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	MM	6,035,281	3/07/00	Crosskey et al.	705	14	
	NN	6,055,513	4/25/00	Katz et al.	705	26	

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation	
							Yes	No

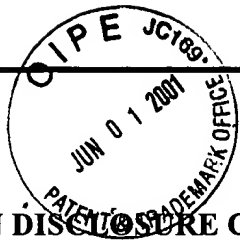
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	OO	Mandese, Joe, "Interactive puts radio crossroads; Out-of-home role, tapping into digital tech could ensure future", Advertising Age, October 25, 1993, News Section at Pg. 12.
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Patch, Kimberly, "Sled, InterNIC debut Internet services; Sled Corp offers electronic coupons for encryption software; InterNIC Information Services launches InfoGuide to Internet computer network; News Digest; Brief Article; Product Announcement", PC Week, May 16, 1994, Vol. 11, No. 19 at Pg. 130, ISSN: 0740-1604.

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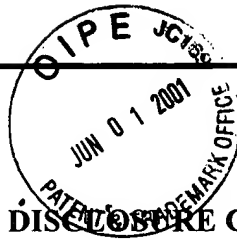
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Wald, Mathew L., "Spending It; Untying Cellular Phones From Those Annual Contracts", The New York Times, March 15, 1998, Section 3, Pg. 10, Column 2, Money and Business/Financial Desk.

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FFF

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Griffin, Kate, "Issuers Charge Ahead to Focus on Price vs. Brand", Card Marketing, The Monthly News Magazine For The Card Marketing Professional, November 1998, Vol. 2, No. 10.

III

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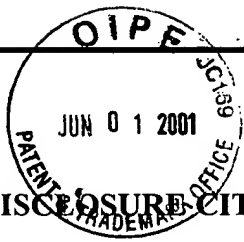
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"Sainsbury Plans Major Deployment of Kiosk Technology; First major rollout for a retailer in the U.K., with Waterstone's to follow", February 1999, RetailTech, The Technology Magazine For Retail Executives, (<http://www.retailtech.com/rt-archive/feb99/feb99-sainsbury.html>).

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"Smart Cards: Buying Via Cable Lines", Future Banker, March 1, 1999, Section: Future Money at Pg. 19.

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"Smart Card Quarterly", EFT Report, March 24, 1999, Vol. 22, No. 6.

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